

Synthesis of new conjugated small-molecule-dyes based on 2-(2-methyl-4H-chromen-4-ylidene)malononitrile as the electron-withdrawing group and their application in photovoltaic devices

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ABSTRACT

A series of new acceptor-donor-acceptor (A-D-A) type conjugated small-molecule-dyes based on indacenodithieno[3,2-*b*]thiophene (**IDTT**) and 2-(2-methyl-4H-chromen-4-ylidene)malononitrile (**CMCN**), named **IDTT-CMCN** and **IDTT-HT-CMCN**, have been synthesized for application in organic solar cells. A-D-A type small-molecules with **CMCN** can effectively form a powerful push-pull structure that induces effective intramolecular charge transport (ICT) and enhanced optical absorption. In comparison with **IDTT-CMCN**, **IDTT-HT-CMCN** has 3-hexylthiophene (**HT**) as a π -bridge unit between the **IDTT** and **CMCN** moieties to increase the solubility and extend the π -conjugation length. The resulting dye (**IDTT-HT-CMCN**) with a hexylthiophene ring as a π -bridge showed a smaller band gap and better solubility. Thus, a power conversion efficiency (PCE) as high as 7.60% was achieved with a short circuit current of 12.7 mA/cm², open circuit voltage of 0.94 V, and fill factor of 63.8%. To the best of our knowledge, small-molecule-based donors with **CMCN** as an electron-withdrawing unit have never been reported before. In addition, the PCE of 7.60% reported in this work is highly efficient among the PCE reported for an organic solar cell using an **IDTT**-based small-molecule-donor material.

1. Introduction

Solution-processed organic solar cells (OSCs) based on bulk heterojunction (BHJ) structures [1] composed of blended conjugated electron donors and acceptors have attracted considerable attention as electricity-generating devices due to their unique properties such as their light weight, mechanical flexibility, and low-cost fabrication over large areas [2,3]. Over the last few decades, the development of conjugated polymers and small molecules as p-type organic semiconductors has led to the progressive enhancements of device performances, with power conversion efficiencies (PCEs) now reaching over 10% [4–7]. Compared to polymeric donors, small molecule donors in OSCs possess intrinsic advantages, including monodispersity, a well-defined and versatile structure, and no batch-to-batch variations [8,9]. In recent years, small molecule-based donors having an acceptor-donor-acceptor (A-D-A) architecture with π -bridge units have shown great potential for applications in OSCs. The optical and electrochemical properties of A-D-A small molecule donors can be easily tuned by using

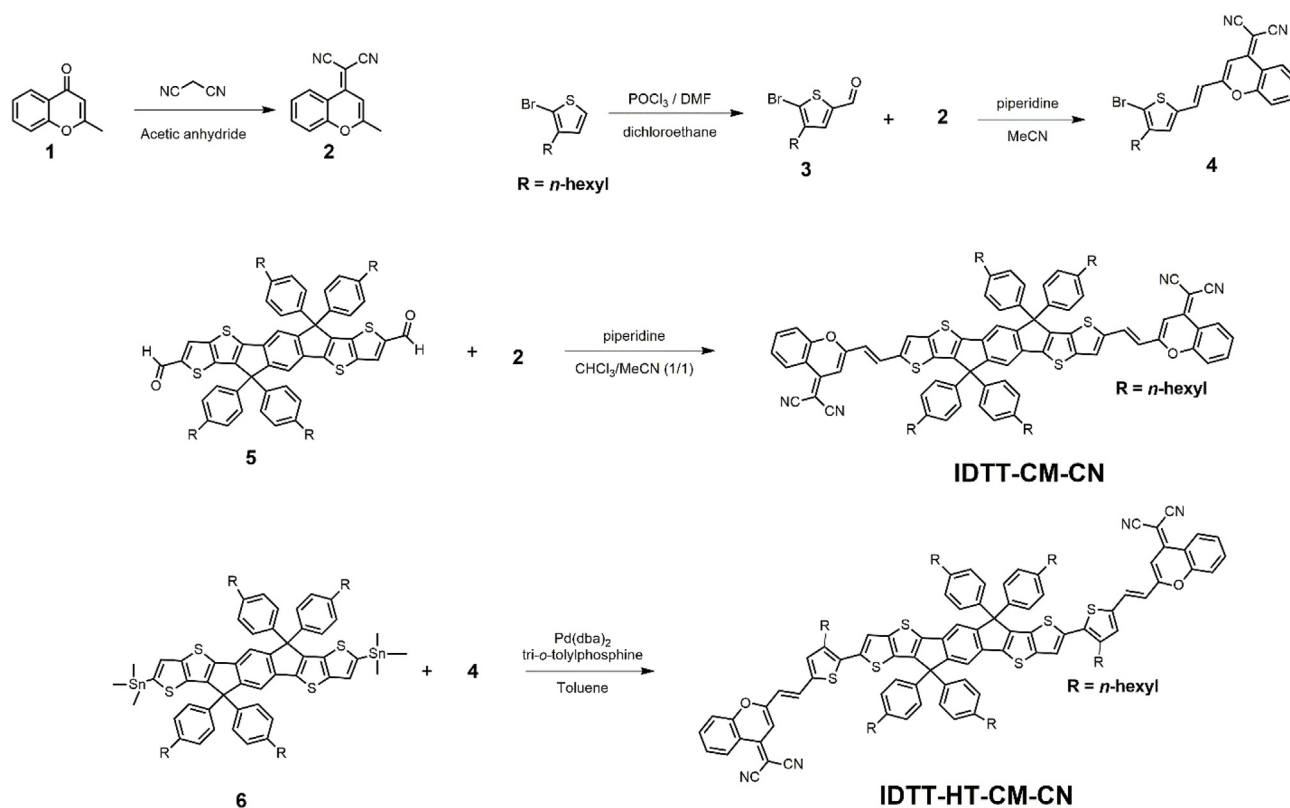
different donating and accepting units, which can also enable efficient intramolecular charge transport (ICT) within D-A moieties [10].

Many electron-donating units such as benzodithiophene (BDT) [11–16], dithienosilole (DTS) [17–20], or oligothiophene [21,22] have been investigated for the design of ideal molecular structures with the goal to produce high photovoltaic performance. So far, p-type small molecules based on those electron donating units have shown high PCEs up to 11.3% in single-junction OSCs [23]. Though various electron donating units have been applied to the synthesis of small molecules, indacenodithieno[3,2-*b*]thiophene (**IDTT**) is a promising candidate for the central building block in A-D-A small molecules owing to its coplanar structure and extended backbone, which is beneficial to enhancing π -electron delocalization, light harvesting, and hole mobility [24]. In addition, four bulky side chains on the **IDTT** backbone prevent strong self-aggregation, so that **IDTT**-based molecules have better solubility in common organic solvents and form a favorable morphology [25]. These molecules are listed in Table S1, which provides a summary of small-molecule-based OSCs with reported PCEs over 6%. Using **IDTT**

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Scheme 1. Chemical structures of IDTT-CM-CN and IDTT-HT-CM-CN.

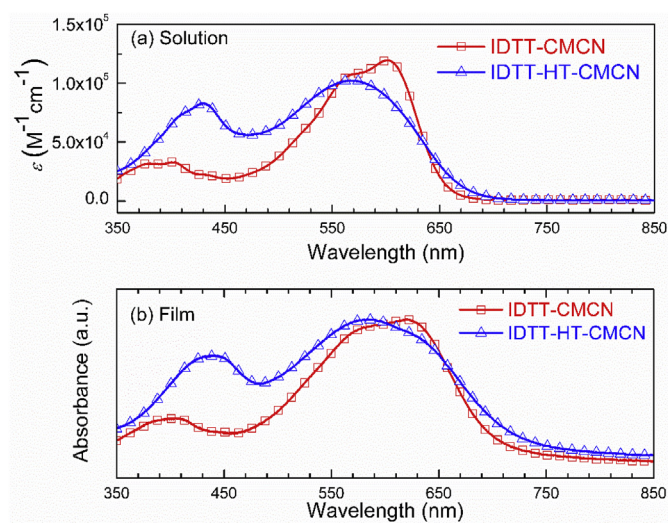


Fig. 1. UV–visible spectra of IDTT-CM-CN and IDTT-HT-CM-CN (a) solutions in chloroform and (b) films on glass substrates.

units with various strong electron-withdrawing units for A-D-A small molecule acceptors such as ITIC has been successfully demonstrated in previous reports [26]. The devices based on those acceptors with a polymeric donor showed excellent performance with greater than 11% efficiency [27–35]. Unlike the application of IDTT units as acceptors, there have been few reports for the application of small molecule donors in OSCs [36,37], while devices with IDTT-based donors and fullerene acceptors have been reported with a PCE of up to 7.05% [38].

For the design of A-D-A small molecule donors containing IDTT as the electron donating unit, it is necessary to develop end-capping units with proper energy levels to match the electron acceptors and thus improve photovoltaic performance. From this perspective, the carbonyl

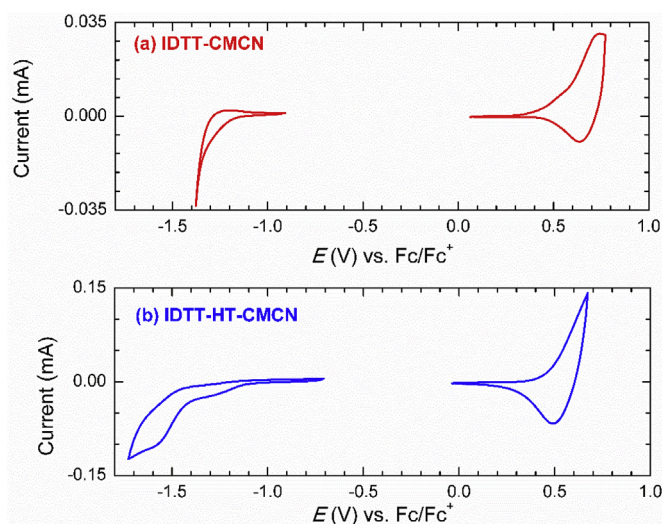


Fig. 2. Cyclic voltammograms of (a) IDTT-CM-CN and (b) IDTT-HT-CM-CN.

Table 1

Summary of optical and electrochemical properties of IDTT-CM-CN and IDTT-HT-CM-CN.

	λ_{edge} (nm) ^a	$\lambda_{\text{max}}^{\text{solution}}$ (nm)	HOMO (eV) ^c	LUMO (eV) ^d
	$E_{\text{gap}}^{\text{opt}}$ (eV) ^b	$\lambda_{\text{max}}^{\text{film}}$ (nm)		
IDTT-CM-CN	700	400, 601	−5.33	−3.49
IDTT-HT-CM-CN	724	430, 569	−5.27	−3.43
	1.71	439, 583		

^a Absorption edge of the film.

^b Estimated from the λ_{edge} .

^{c,d} Estimated from the CV.

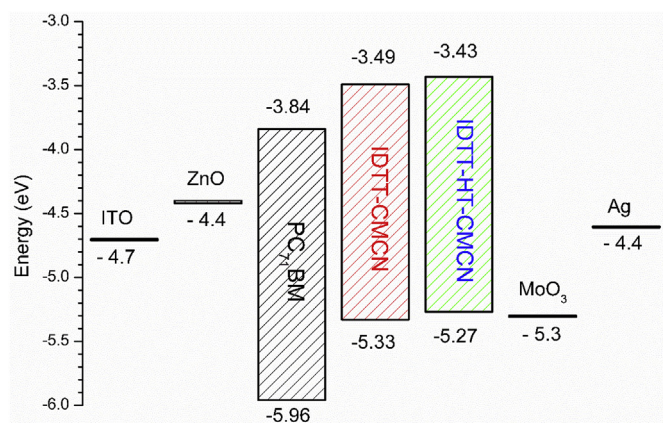


Fig. 3. Energy level diagrams of IDTT-CMCN, IDTT-HT-CMCN and the materials used in this research.

oxygen of chromone (CM) derivatives can be replaced with ylidene malononitrile, 2-(2-methyl-4H-chromen-4-ylidene)malononitrile (CMCN), to yield ideal end-capping unit because of their strong electron accepting nature and planar structure. CMCN derivatives have been used for red-emitting dyes and as dopants in organic light emitting diodes (OLEDs) [39,40]. However, they are rarely applied as photoactive materials in OSCs. When CMCN derivatives are introduced to the electron donating units in A-D-A small molecules, they can effectively form a powerful push-pull structure that induces effective ICT and enhanced optical absorption.

Herein, we designed and synthesized two A-D-A small molecule donors, denoted as IDTT-CMCN and IDTT-HT-CMCN, containing IDTT as the electron donating unit and CMCN as the electron-withdrawing unit. In comparison with IDTT-CMCN, IDTT-HT-CMCN has 3-hexylthiophene (HT) as a π -bridge unit between the IDTT and CMCN moieties to increase the solubility and extend the π -conjugation length. OSCs based on both of these donors and [6,6]-phenyl-C71-butyric acid methyl ester (PC₇₁BM) as the acceptor were fabricated to investigate the effect of alkylthiophenes on the photovoltaic properties. Consequently, IDTT-HT-CMCN exhibits stronger absorption of the short wavelength region than that of IDTT-CMCN and favorable film

morphology when blended with PC₇₁BM. To the best of our knowledge, small-molecule-based donors with CMCN as an electron-withdrawing unit have never been reported before. In addition, the PCE of 7.60% achieved in this research is the highest PCE reported for OSCs using the IDTT-based small-molecule donors.

2. Results and discussion

2.1. Synthesis and thermal properties

The detailed synthetic routes of the materials are illustrated in Scheme 1. Compound 4 was synthesized by a condensation reaction between compounds 2 and 3 in the presence of piperidine as a catalyst in acetonitrile (ACN), giving a yield of over 40%. Synthesis of IDTT-CMCN was followed by similar procedures for the synthesis of compound 4. However, a mixed solvent of chloroform (CF) and ACN was used to synthesize IDTT-CMCN because of its poor solubility in ACN. IDTT-CMCN showed moderated solubility in CF (up to 5 mg/mL) but poor solubility in toluene, chlorobenzene (CB), and *o*-dichlorobenzene (DCB). IDTT-HT-CMCN was prepared by the Stille coupling reaction between compounds 4 and 6. IDTT-HT-CMCN showed better solubility in CF (up to 10 mg/mL) due to the hexyl group on the thiophene ring also exhibited poor solubility in toluene, CB, and DCB. Thermogravimetric analysis (TGA) of IDTT-CMCN and IDTT-HT-CMCN was carried out at a heating rate of 10 °C/min under air atmosphere (See Fig. S1). IDTT-CMCN and IDTT-HT-CMCN showed good thermal stability with the onset of decomposition temperatures of 407 and 393 °C at 5 wt % loss, respectively. Differential scanning calorimetry (DSC) thermograms of IDTT-CMCN and IDTT-HT-CMCN are displayed in Fig. S2. No discernible thermal transitions such as melting or glass transition were detected during the heating processes up to 300 °C, due to their amorphous properties.

2.2. Optical and electrochemical properties

As shown in Fig. 1 (a), the UV–Vis spectra of both IDTT-CMCN and IDTT-HT-CMCN solutions exhibit two absorption bands in the shorter (350–470 nm) and longer wavelength regions (470–670 nm), respectively. The former corresponds to the π - π^* transition of the conjugated backbone and the latter corresponds to ICT, which is generally observed

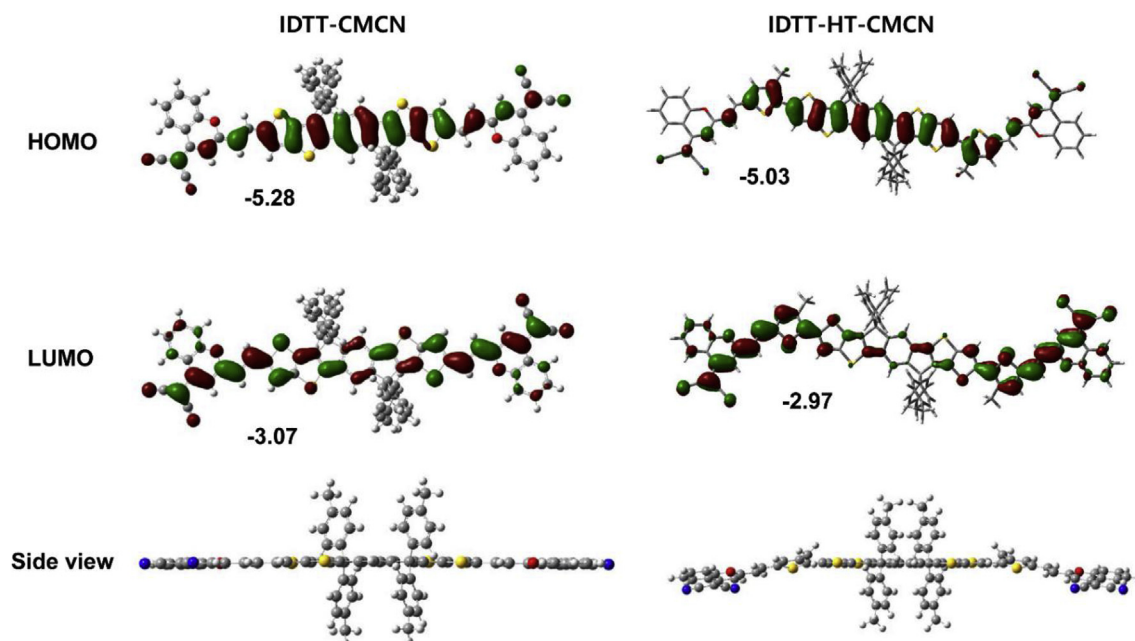


Fig. 4. Calculated frontier molecular orbitals of IDTT-CMCN and IDTT-HT-CMCN with HOMO/LUMO energy levels.

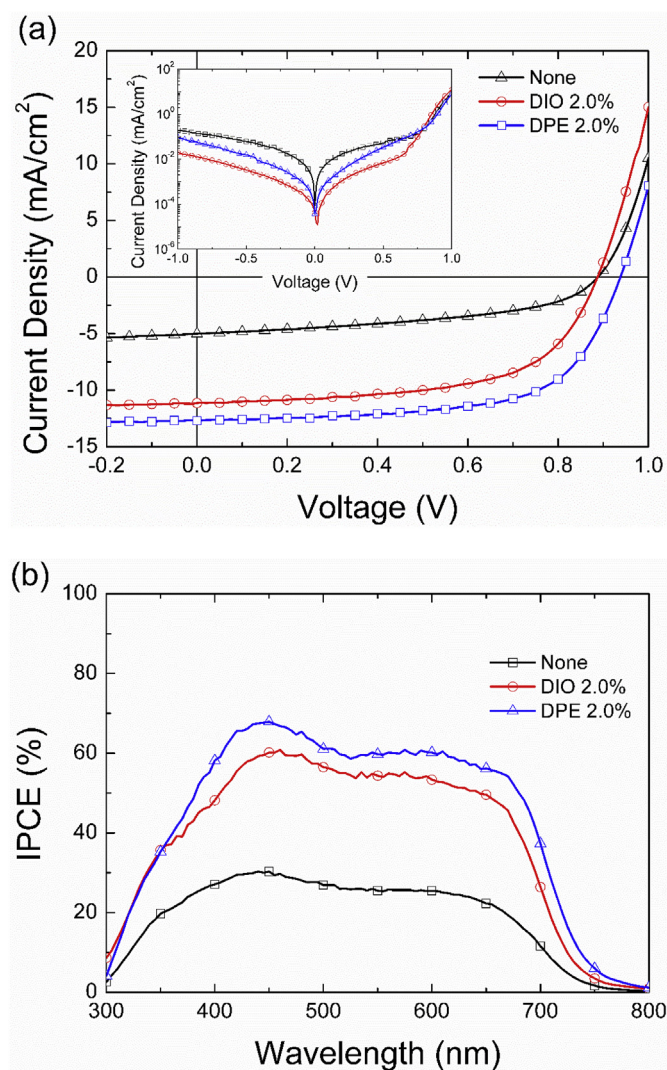


Fig. 5. (a) Current density vs. voltage curves of OSCs under 1.0 sun illumination (inset: under dark conditions) and (b) IPCE spectra of OSCs based on IDTT-HT-CMCN.

in conjugated materials with a donor and acceptor architecture. The maximum wavelength corresponding to the π - π^* transition of IDTT-HT-CMCN is 430 nm, which is longer than that of IDTT-CMCN (400 nm). This is due to the extended π -conjugation length resulting from the insertion of a thiophene ring between IDTT and CMCN. On the other hand, the maximum position of the ICT band of IDTT-HT-CMCN (569 nm) appeared at a shorter wavelength than that of IDTT-CMCN (601 nm). This is possibly due to the fact that the ICT in IDTT-CMCN is stronger than that in IDTT-HT-CMCN [32]. The molar extinction

coefficient (ϵ) of IDTT-CMCN at 601 nm is $1.2 \times 10^5 \text{ M}^{-1} \text{ cm}^{-1}$, which is higher than that of IDTT-HT-CMCN ($1.0 \times 10^5 \text{ M}^{-1} \text{ cm}^{-1}$) at 569 nm. The higher ϵ of IDTT-CMCN supports the observation that ICT is stronger in IDTT-CMCN. The UV-Vis spectra of IDTT-CMCN and IDTT-HT-CMCN films (Fig. 1 (b)) showed similar features to those of the solution phase spectra. The values of the maximum wavelength of the π - π^* transition, λ_{edge} , and E_{gap}^{opt} of IDTT-HT-CMCN are 439 nm, 724 nm, and 1.71 eV, respectively, which are red-shifted compared with those of IDTT-CMCN (400 nm, 700 nm, 1.77 eV). This is due to an increase in the number of thiophene rings in IDTT-HT-CMCN. The positions of the ICT bands of IDTT-CMCN and IDTT-HT-CMCN exhibited similar trends in their solution spectra. The position of the absorption peaks of the films are red-shifted compared with those of the solutions owing to the intermolecular π - π stacking.

The HOMO energy level of IDTT-HT-CMCN, as determined from the oxidation onset potential of the corresponding cyclic voltammogram (CV) (Fig. 2), was -5.27 eV , which is higher than that of IDTT-CMCN (-5.33 eV) owing to the incorporation of a thiophene ring into IDTT-HT-CMCN. The LUMO energy levels of IDTT-CMCN and IDTT-HT-CMCN calculated from the reduction onset potential of the corresponding CVs were -3.49 and -3.43 eV , respectively. The optical and electrochemical properties of IDTT-CMCN and IDTT-HT-CMCN are summarized in Table 1.

Energy level diagrams of IDTT-CMCN, IDTT-HT-CMCN, and other materials in the OSC are illustrated in Fig. 3. From the energy levels, facile exciton dissociation from the donor to PC₇₁BM as well as an energetically favored charge transfer process can be expected.

2.3. Theoretical calculations

Computational calculations based on density functional theory (DFT) were employed to determine the optical and electrochemical properties. The DFT calculations were performed using Gaussian 09 software with the hybrid B3LYP correlation functional and split valence 6-31G(d) basis set. Due to the limits of calculation, the hexyl side chains on IDTT and thiophene were replaced with methyl groups. The optimized geometries of IDTT-CMCN and IDTT-HT-CMCN are displayed in Fig. 4. The HOMO orbitals are delocalized in the conjugated backbone. On the other hand, the LUMO orbitals are localized in the CMCN unit. The calculated HOMO/LUMO levels of IDTT-CMCN and IDTT-HT-CMCN are $-5.28/-3.07$ and $-5.03/-2.97$, respectively. Even though these values do not exactly match those observed in the UV-Vis spectra and CVs, they are well correlated with the experimental results. It can be shown that the shoulder observed in the absorption peak around 620 nm in the UV-Vis spectra of IDTT-CMCN and IDTT-HT-CMCN is due to the formation of intermolecular aggregates. It is very interesting that this shoulder peak in the spectrum of IDTT-CMCN is stronger than that of IDTT-HT-CMCN. Based on the side view of IDTT-CMCN and IDTT-HT-CMCN in Fig. 4, IDTT-CMCN shows a more planar structure than IDTT-HT-CMCN. The theoretical calculations also provide a good interpretation for the aggregation observed in the film state. This supports the observation that the solubility of IDTT-CMCN is poor

Table 2

The best photovoltaic parameters of the OSCs based on IDTT-HT-CMCN at the blend ratio of 3:8 (w/w) between IDTT-HT-CMCN and PC₇₁BM. The averages and error range for the photovoltaic parameters of each device are given in parentheses.

Additive ^a	J_{sc} (mA/cm ²)	Calculated J_{sc} ^b (mA/cm ²)	V_{oc} (V)	FF (%)	PCE (%)	R_s ^c ($\Omega \text{ cm}^2$)
none	5.01 (4.91 \pm 0.08)	5.24	0.89 (0.88 \pm 0.01)	47.3 (46.5 \pm 0.70)	2.11 (2.02 \pm 0.06)	4.52
DIO 2.0%	11.1 (11.0 \pm 0.03)	10.9	0.89 (0.89 \pm 0.01)	59.9 (59.8 \pm 0.31)	5.93 (5.91 \pm 0.02)	3.97
DPE 2.0%	12.7 (12.2 \pm 0.33)	12.6	0.94 (0.94 \pm 0.01)	63.8 (63.6 \pm 0.8)	7.60 (7.27 \pm 0.21)	3.75

^a Thickness of the active layer was 50 nm.

^b Calculated from the IPCE curves.

^c Series resistance estimated from the device showing the best PCE.

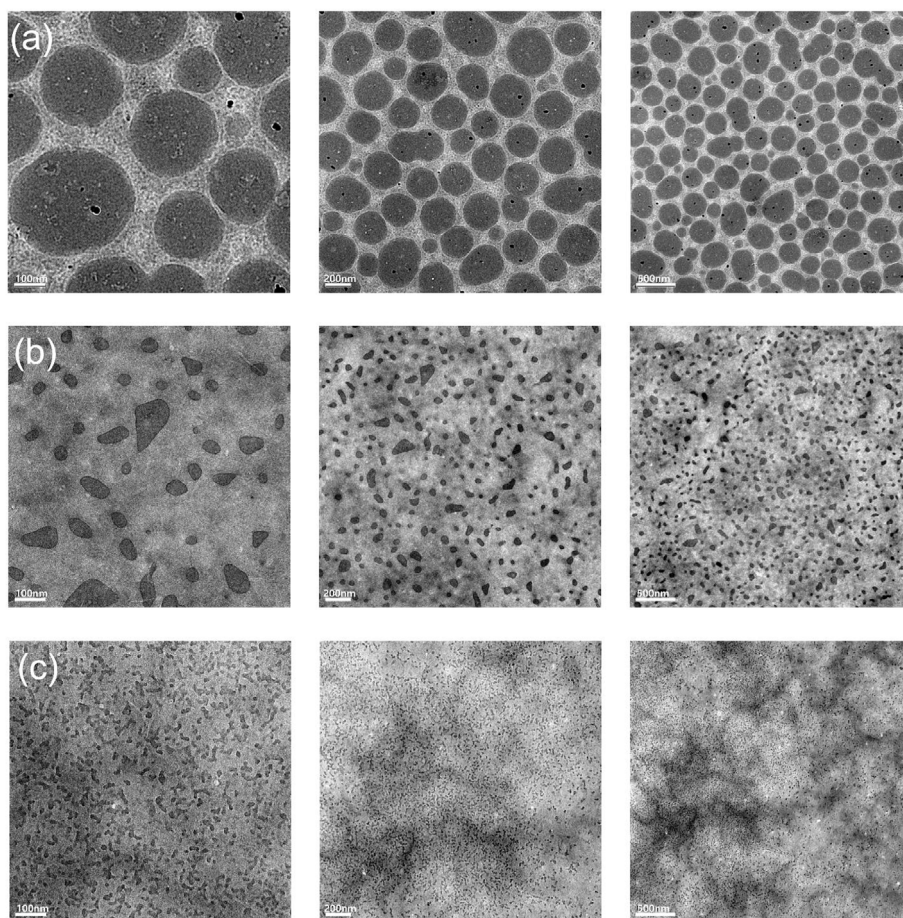


Fig. 6. TEM images of the active layers based on IDTT-HT-CMCN at various magnification (a) without additive, (b) with 2.0% DIO, and (c) 2.0% DPE.

compared to that of IDTT-HT-CMCN.

2.4. Photovoltaic properties

To evaluate the performance of IDTT-CMCN and IDTT-HT-CMCN as the donor in OSCs, we fabricated and tested inverted type polymer solar cells (PSCs) with a structure of ITO/ZnO (15 nm)/donor:PC₇₁BM/MoO₃ (10 nm)/Ag (100 nm). Devices based on IDTT-CMCN with different blend ratios of donor and PC₇₁BM from 3:7 to 3:9 (w/w) were fabricated and tested to optimize the device fabrication conditions; we fabricated OSCs with diphenyl ether (DPE) as a processing additive as well. The current density-voltage (*J*-*V*) curves of the OSCs under AM 1.5G simulated illumination and the photovoltaic parameters are shown in Fig. S3 and Table S2. The PCEs were around 0.25–0.45%. Regardless of the blend ratio of the active layer, the devices containing DPE as a processing additive exhibited decreased PCEs. This is due to the morphology of the active layer. As shown in TEM images (Fig. S4), no discernible morphological changes were observed in the active layer with processing additive. One reason for this is the poor solubility of IDTT-CMCN in CF (up to 5 mg/mL in CF). It should be noted that the photovoltaic results achieved for devices based on IDTT-CMCN do not provide us with important information. OSCs based on IDTT-HT-CMCN as the donor with different blend ratios of donor and PC₇₁BM from 3:3 to 3:12 (w/w) were fabricated to optimize the device fabrication process. In addition, we fabricated devices with different concentrations of processing additives in CF from 1.5 to 2.5 vol.% such as DPE and 1,8-diiodooctane(DIO). The data are summarized in Table S3. The optimum blend ratio of donor to PC₇₁BM and the optimum concentration of DPE in CF were determined as 3:8 and 2.0 vol.%, respectively. Fig. 5 (a) shows the current density as a function of the voltage of the OSCs

showing the best PCE under 1.0 sun illumination (inset: dark conditions) at the optimum blend ratio of donor and PC₇₁BM and optimum concentration of processing additives. The photovoltaic parameters are summarized in Table 2.

Even though the optical and electrochemical properties of IDTT-HT-CMCN are almost identical to those of IDTT-CMCN, the presence of the HT group between IDTT and CMCN improves the PCE from 0.36 to 2.11%. The enhancement of the PCE is presumably due to the increase in the solubility of IDTT-HT-CMCN in CF (up to 10 mg/mL). The device containing DIO as a processing additive showed a significant enhancement in PCE from 2.11% to 5.93%. The improvement of the PCE mainly resulted from a 122% increase in the *J*_{sc}. Similarly, the PCE of the device with DPE as a processing additive exhibited the best PCE of 7.60%. The *R*_s data also agree well with the device data. The calculated *J*_{sc} values based on incident photon-to-current efficiency (IPCE) curves (Fig. 5 (b)) showed very good agreement with the *J*_{sc} data measured from the devices under 1.0 sun illumination. Interestingly, the PCEs of the devices treated with CF vapor for 30 and 60 s in the glove box were decreased (See Table S3).

Hole- and electron-only devices with a structure of ITO/PEDOT:PSS (40 nm)/IDTT-HT-CMCN:PC₇₁BM (3:8 by weight, 2.0 vol.% DPE, 60 nm)/Au (50 nm) and ITO/ZnO (20 nm)/IDTT-HT-CMCN:PC₇₁BM (3:8 by weight, 2.0 vol.% DPE, 60 nm)/LiF (1 nm)/Ag (100 nm), respectively, have been fabricated and tested to investigate the charge transporting properties. As shown in Fig. S5, the *J*-*V* curves showed a characteristic of space charge limited current (SCLC) and can be expressed by the Mott-Gurney law [41];

$$J = \frac{9}{8} \epsilon_0 \epsilon_r \mu \frac{E^2}{L}$$

where J is the current density, μ is the charge mobility, E is the electric field, $\epsilon_0\epsilon_r$ is the permittivity of the active layer, and L is the thickness of the active layer. We calculated the charge mobility using the permittivity of 3.0 for the active layer. $\epsilon_r = 3.9$. The hole and electron mobility of the device were 1.43×10^{-3} and $2.10 \times 10^{-3} \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$, respectively. Notably, the electron mobility of the active layer is higher than that of hole mobility.

As shown in the UV–Vis spectra of the active layers (Fig. S6), the absorbance at 400–490 nm and 560–750 nm of the active layer with additives are higher than that of the active layer without additives. This is one of the reasons why the devices with additives exhibit higher PCEs than the devices without additives. The morphologies of the active layers based on IDTT-HT-CMCN were investigated by transmission electron microscopy (TEM) (Fig. 6). The active layer without additives showed significant phase separation and aggregation (Fig. 6 (a)). However, the active layers with DIO and DPE (Fig. 6 (a), (b)) exhibited better nanoscale phase separation and bicontinuous interpenetrating networks. It was observed that the active layer with DPE showed the best nanoscale phase separated morphology. Thus, favorable nanoscale phase separation in the active layer can result in a higher PCE of the related OSC through efficient charge separation and transport [42]. Based on the grazing incidence wide angle X-ray scattering (GIWAXS) of IDTT-HT-CMCN (Fig. S7), we concluded that the IDTT-HT-CMCN films do not show any packing behavior.

3. Conclusion

In this work, two novel conjugated small-molecule-donor materials based on IDTT and CMCN, labeled IDTT-CMCN and IDTT-HT-CMCN, were developed for organic solar cells. The electron-accepting CMCN group, when combined with IDTT, can effectively form a powerful push-pull structure that induces effective ICT and enhanced optical absorption. In comparison with IDTT-CMCN, IDTT-HT-CMCN has HT as a π -bridge unit between the IDTT and CMCN moieties to increase the solubility and extend the π -conjugation length. The PCEs of the devices based on IDTT-CMCN were poor, at around 0.25–0.45%. Even though devices containing DPE as a processing additive were fabricated, the PCEs were not improved. IDTT-HT-CMCN with the hexylthiophene ring as a π -bridge showed a smaller band gap and better solubility, thus resulting in a PCE as high as 7.60% with a short circuit current of $12.7 \text{ mA}/\text{cm}^2$, open circuit voltage of 0.94 V, and fill factor of 63.8%.

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Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.dyepig.2018.12.060>.

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